Internal Audit Plan for Fiscal Year 2023 Revised

Audit Title	Area / Initial Objective(s)	Projected Hours
Audits		
CAPPS Role / User Access Review A/	Review of Procurement Logical Security (Carryover Audit)	100
Audit of Completed Management Actions	Review completed actions and determined if management has sufficiently addressed the risks identified (Carryover Audit)	350
21.05.IT Review Contract of selected Division IT contracts C/	Review of IT Division Level contracting process, monitoring and management process and controls, document retention and compliance with laws including compliance to SB20 and SB65.	200
Security Review	Review of compliance to TAC 202 for security related activities. (Carryover Audit)	50
Audit of Food Bank Grants Application and Awards	Application and Awards process	100
Audit of Food Bank Grants Monitoring	Grant Payment, Grant Monitoring and Subrecipient Monitoring for TBD and FND (Carryover to FY24)	150
Consultations / Special Projects		
Special Project: Teammate Upgrade		680
External Quality Assurance Review (Peer Review) Self-Assessment and preparation		
Perform activities as requested by the International Professional Practices Framework (IPPF) and Generally Accepted Government Audit Standards (GAGAS) in compliance with the Texas Internal Audit Act. (*External Quality Assurance Review is required by Aug. 2023*)		500
Follow-up on Prior Audits		
Assess the implementation status of corrective actions taken in response to prior internal and external audit findings and recommendations.		200
Risk Assessment, Audit Plan and Annual Report		
Prepare Risk Assessment, Internal Audit Plan, and Internal Audit Annual Report		490
Fraud Hotline Admin, Consulting Services and Special Projects		
Perform activities as requested by management throughout the year, as permitted by internal audit resources and the Texas Internal Audit Act. These activities may address governance, risk management, or control issues		360
External Audit Coordinator		
Act as a liaison for external audit activities, providing a single point of contact for all audits of TDA by oversight entities. Internal Audit assists management in coordinating TDA and external auditor interactions to ensure both parties receive accurate and timely information and feedback.		400
Total Hours		3,580

A/ the applicable information technology risks related to Title 1, Texas Administrative Code, Chapter 202, Information Security Standards,
B/ benefits proportionality, expenditure transfers, capital budget controls, or any other limitation or restriction in the General Appropriations Act. and
C/ contract processes and controls for monitoring agency contracts, according to Texas Government Code, Section 2102.005(b)
* All Projections based on full staffing by Dec 2022 and availability of agency resources

Additional areas that ranked high, which were not included in the fiscal year 2023 audit plan are listed below:
1. Grants administration and program monitoring
2. Financial Services, Accounting / Accounts Payable
3. Financial Services / Budget and Reporting
4. Financial Services and Grant Revenue / Cash Receipts
5. Information Technology, Systems and Applications Support
6. Information Technology, Data Center
7. Information Technology, Helpdesk Support
8. Licensing/Testing/Inspection/Develop Rules/Enforcement